

# Additive structure of non-monogenic simplest cubic fields

Magdaléna Tinková

Czech Technical University in Prague

Joint work with Daniel Gil-Muñoz.

May 23, 2023

- $K$  algebraic number field
- $d$  degree of  $K$  over  $\mathbb{Q}$
- $\mathcal{O}_K$  is the ring of algebraic integers in  $K$

- $K$  algebraic number field
- $d$  degree of  $K$  over  $\mathbb{Q}$
- $\mathcal{O}_K$  is the ring of algebraic integers in  $K$

### Definition

$K$  is monogenic if  $\mathcal{O}_K = \mathbb{Z}[\gamma]$  for some  $\gamma \in K$ , i.e., every algebraic integer  $\alpha \in \mathcal{O}_K$  can be expressed as

$$\alpha = a_0 + a_1\gamma + a_2\gamma^2 + \cdots + a_{d-1}\gamma^{d-1}$$

where  $a_i \in \mathbb{Z}$  for all  $0 \leq i \leq d-1$ .

## Examples

## Example

$K$  real quadratic field  $\Rightarrow K = \mathbb{Q}(\sqrt{D})$  where  $D > 1$  is square-free

$$\mathcal{O}_K = \begin{cases} \mathbb{Z}[\sqrt{D}] & \text{if } D \equiv 2, 3 \pmod{4}, \\ \mathbb{Z}\left[\frac{1+\sqrt{D}}{2}\right] & \text{if } D \equiv 1 \pmod{4} \end{cases}$$

$\rightarrow$  They are always monogenic.

## Examples

## Example

$K$  real quadratic field  $\Rightarrow K = \mathbb{Q}(\sqrt{D})$  where  $D > 1$  is square-free

$$\mathcal{O}_K = \begin{cases} \mathbb{Z}[\sqrt{D}] & \text{if } D \equiv 2, 3 \pmod{4}, \\ \mathbb{Z}\left[\frac{1+\sqrt{D}}{2}\right] & \text{if } D \equiv 1 \pmod{4} \end{cases}$$

$\rightarrow$  They are always monogenic.

## Example

$K = \mathbb{Q}(\eta)$  where  $\eta$  is a root of  $x^3 - x^2 - 2x - 8$  is not monogenic

# The simplest cubic fields

- introduced by Shanks (1974)
- $K = \mathbb{Q}(\rho)$  where  $\rho$  is a root of  $x^3 - ax^2 - (a + 3)x - 1$  with  $a \in \mathbb{Z}$ ,  $a \geq -1$
- they are Galois extensions
- $\mathcal{O}_K = \mathbb{Z}[\rho]$  for infinitely many cases of  $a$

# The simplest cubic fields

- introduced by Shanks (1974)
- $K = \mathbb{Q}(\rho)$  where  $\rho$  is a root of  $x^3 - ax^2 - (a+3)x - 1$  with  $a \in \mathbb{Z}$ ,  $a \geq -1$
- they are Galois extensions
- $\mathcal{O}_K = \mathbb{Z}[\rho]$  for infinitely many cases of  $a$

## Example

- $\mathcal{O}_K = \mathbb{Z}[\rho]$  if  $a^2 + 3a + 9$  is square-free

# The simplest cubic fields

- introduced by Shanks (1974)
- $K = \mathbb{Q}(\rho)$  where  $\rho$  is a root of  $x^3 - ax^2 - (a + 3)x - 1$  with  $a \in \mathbb{Z}$ ,  $a \geq -1$
- they are Galois extensions
- $\mathcal{O}_K = \mathbb{Z}[\rho]$  for infinitely many cases of  $a$

## Example

- $\mathcal{O}_K = \mathbb{Z}[\rho]$  if  $a^2 + 3a + 9$  is square-free
- if  $a = 0$ , then  $a^2 + 3a + 9 = 9$  is not square-free but still  $\mathcal{O}_K = \mathbb{Z}[\rho]$



# Monogenic simplest cubic fields

let  $c$  be the conductor of  $K$

## Theorem (Kashio, Sekigawa, 2021)

Let  $K$  be a simplest cubic fields. Then the following are equivalent:

- ① *The field  $K$  is monogenic.*
- ② *We have  $a \in \{-1, 0, 1, 2, 3, 5, 12, 54, 66, 1259, 2389\}$  or  $\frac{a^2+3a+9}{c}$  is a cube.*
- ③ *We have  $a \in \{-1, 0, 1, 2, 3, 5, 12, 54, 66, 1259, 2389\}$  or  $a \not\equiv 3, 21 \pmod{27}$  and  $v_p(a^2 + 3a + 9) \not\equiv 2 \pmod{3}$  for all primes  $p \neq 3$ .*

# Monogenic simplest cubic fields

let  $c$  be the conductor of  $K$

## Theorem (Kashio, Sekigawa, 2021)

Let  $K$  be a simplest cubic fields. Then the following are equivalent:

- ① The field  $K$  is monogenic.
  - ② We have  $a \in \{-1, 0, 1, 2, 3, 5, 12, 54, 66, 1259, 2389\}$  or  $\frac{a^2+3a+9}{c}$  is a cube.
  - ③ We have  $a \in \{-1, 0, 1, 2, 3, 5, 12, 54, 66, 1259, 2389\}$  or  $a \not\equiv 3, 21 \pmod{27}$  and  $v_p(a^2 + 3a + 9) \not\equiv 2 \pmod{3}$  for all primes  $p \neq 3$ .
- If  $\frac{a^2+3a+9}{c} = 1$ , then  $\mathcal{O}_K = \mathbb{Z}[\rho]$ .

# Monogenic simplest cubic fields

let  $c$  be the conductor of  $K$

## Theorem (Kashio, Sekigawa, 2021)

Let  $K$  be a simplest cubic fields. Then the following are equivalent:

- ① The field  $K$  is monogenic.
- ② We have  $a \in \{-1, 0, 1, 2, 3, 5, 12, 54, 66, 1259, 2389\}$  or  $\frac{a^2+3a+9}{c}$  is a cube.
- ③ We have  $a \in \{-1, 0, 1, 2, 3, 5, 12, 54, 66, 1259, 2389\}$  or  $a \not\equiv 3, 21 \pmod{27}$  and  $v_p(a^2 + 3a + 9) \not\equiv 2 \pmod{3}$  for all primes  $p \neq 3$ .

- If  $\frac{a^2+3a+9}{c} = 1$ , then  $\mathcal{O}_K = \mathbb{Z}[\rho]$ .
- If  $\frac{a^2+3a+9}{c} \neq 1$  is a cube, then  $\mathcal{O}_K = \mathbb{Z}[\gamma]$  for some  $\gamma \neq \rho$ .

let us consider basis of the form  $B_p(k, l) = \left\{1, \rho, \frac{k+l\rho+\rho^2}{p}\right\}$  where  $p$  is a prime and  $1 \leq k, l \leq p-1$

let us consider basis of the form  $B_p(k, l) = \{1, \rho, \frac{k+l\rho+\rho^2}{p}\}$  where  $p$  is a prime and  $1 \leq k, l \leq p - 1$

### Proposition

There exist infinitely many simplest cubic fields with the integral basis  $B_p(k, l)$  if and only if  $p = 3$  and  $(k, l) = (1, 1)$ , or  $p \equiv 1 \pmod{6}$  and  $(k, l)$  is one of two concrete pairs of  $(k_1, l_1)$  and  $(k_2, l_2)$  where values of  $k_i$  and  $l_i$  depend only on  $p$ .

let us consider basis of the form  $B_p(k, l) = \{1, \rho, \frac{k+l\rho+\rho^2}{p}\}$  where  $p$  is a prime and  $1 \leq k, l \leq p-1$

### Proposition

There exist infinitely many simplest cubic fields with the integral basis  $B_p(k, l)$  if and only if  $p = 3$  and  $(k, l) = (1, 1)$ , or  $p \equiv 1 \pmod{6}$  and  $(k, l)$  is one of two concrete pairs of  $(k_1, l_1)$  and  $(k_2, l_2)$  where values of  $k_i$  and  $l_i$  depend only on  $p$ .

- $p = 3$  and  $p \equiv 1 \pmod{6}$  follows from the solvability of the equation  $a^2 + 3a + 9 \equiv 0 \pmod{p^2}$

let us consider basis of the form  $B_p(k, l) = \{1, \rho, \frac{k+l\rho+\rho^2}{p}\}$  where  $p$  is a prime and  $1 \leq k, l \leq p-1$

### Proposition

There exist infinitely many simplest cubic fields with the integral basis  $B_p(k, l)$  if and only if  $p = 3$  and  $(k, l) = (1, 1)$ , or  $p \equiv 1 \pmod{6}$  and  $(k, l)$  is one of two concrete pairs of  $(k_1, l_1)$  and  $(k_2, l_2)$  where values of  $k_i$  and  $l_i$  depend only on  $p$ .

- $p = 3$  and  $p \equiv 1 \pmod{6}$  follows from the solvability of the equation  $a^2 + 3a + 9 \equiv 0 \pmod{p^2}$
- solutions  $a_1$  and  $a_2$  of  $a^2 + 3a + 9 \equiv 0 \pmod{p^2}$  produce concrete values of  $(k_1, l_1)$  and  $(k_2, l_2)$  for which  $\frac{k_i+l_i\rho+\rho^2}{p}$  is an algebraic integer

- $K$  totally real number field
- $\mathcal{O}_K^+$  set of totally positive elements  $\alpha \in \mathcal{O}_K$ , i.e., all conjugates of  $\alpha$  are positive



- $K$  totally real number field
- $\mathcal{O}_K^+$  set of totally positive elements  $\alpha \in \mathcal{O}_K$ , i.e., all conjugates of  $\alpha$  are positive

### Definition

We say that  $\alpha \in \mathcal{O}_K^+$  is indecomposable in  $\mathcal{O}_K$  if it cannot be written as  $\alpha = \beta + \gamma$  for any  $\beta, \gamma \in \mathcal{O}_K^+$ .

- $K$  totally real number field
- $\mathcal{O}_K^+$  set of totally positive elements  $\alpha \in \mathcal{O}_K$ , i.e., all conjugates of  $\alpha$  are positive

### Definition

We say that  $\alpha \in \mathcal{O}_K^+$  is indecomposable in  $\mathcal{O}_K$  if it cannot be written as  $\alpha = \beta + \gamma$  for any  $\beta, \gamma \in \mathcal{O}_K^+$ .

- only one indecomposable integer in  $\mathbb{Z}$ , namely 1

- $K$  totally real number field
- $\mathcal{O}_K^+$  set of totally positive elements  $\alpha \in \mathcal{O}_K$ , i.e., all conjugates of  $\alpha$  are positive

### Definition

We say that  $\alpha \in \mathcal{O}_K^+$  is indecomposable in  $\mathcal{O}_K$  if it cannot be written as  $\alpha = \beta + \gamma$  for any  $\beta, \gamma \in \mathcal{O}_K^+$ .

- only one indecomposable integer in  $\mathbb{Z}$ , namely 1
- they can be used to the study of quadratic forms or the Pythagoras number in these fields

# Results on indecomposable integers

- We know the precise structure of indecomposable integers in quadratic fields  $\mathbb{Q}(\sqrt{D})$ , where they can be described using the continued fraction of  $\sqrt{D}$  or  $\frac{\sqrt{D}-1}{2}$  (Perron, 1913; Dress, Scharlau, 1982).

# Results on indecomposable integers

- We know the precise structure of indecomposable integers in quadratic fields  $\mathbb{Q}(\sqrt{D})$ , where they can be described using the continued fraction of  $\sqrt{D}$  or  $\frac{\sqrt{D}-1}{2}$  (Perron, 1913; Dress, Scharlau, 1982).
- We also know their structure for several families of cubic fields (Kala, T., 2023; T., 2023+).

# Results on indecomposable integers

- We know the precise structure of indecomposable integers in quadratic fields  $\mathbb{Q}(\sqrt{D})$ , where they can be described using the continued fraction of  $\sqrt{D}$  or  $\frac{\sqrt{D}-1}{2}$  (Perron, 1913; Dress, Scharlau, 1982).
- We also know their structure for several families of cubic fields (Kala, T., 2023; T., 2023+).
- some partial results for biquadratic fields (Čech, Lachman, Svoboda, T., Zemková, 2019; Krásenský, T., Zemková, 2020)

## Theorem (Kala, T., 2023)

Let  $K$  be the simplest cubic field with  $a \geq -1$  such that  $\mathcal{O}_K = \mathbb{Z}[\rho]$ . The elements  $1$ ,  $1 + \rho + \rho^2$ , and

$$\alpha(v, w) = -v - w\rho + (v + 1)\rho^2$$

where  $0 \leq v \leq a$  and  $v(a + 2) + 1 \leq w \leq (v + 1)(a + 1)$  are, up to multiplication by totally positive units, all the indecomposable integers in  $\mathbb{Q}(\rho)$ .

## Theorem (Kala, T., 2023)

Let  $K$  be the simplest cubic field with  $a \geq -1$  such that  $\mathcal{O}_K = \mathbb{Z}[\rho]$ . The elements  $1$ ,  $1 + \rho + \rho^2$ , and

$$\alpha(v, w) = -v - w\rho + (v + 1)\rho^2$$

where  $0 \leq v \leq a$  and  $v(a + 2) + 1 \leq w \leq (v + 1)(a + 1)$  are, up to multiplication by totally positive units, all the indecomposable integers in  $\mathbb{Q}(\rho)$ .

We provide analogous results for the simplest cubic fields with the basis  $B_3(1, 1) = \left\{1, \rho, \frac{1+\rho+\rho^2}{3}\right\}$ .



## Smallest norm

Theorem (Lemmermeyer, Pethö, 1995)

*For all  $\alpha \in \mathbb{Z}[\rho]$  either  $|N(\alpha)| \geq 2a + 3$ , or  $\alpha$  is associated to a rational integer.*

## Smallest norm

## Theorem (Lemmermeyer, Pethö, 1995)

For all  $\alpha \in \mathbb{Z}[\rho]$  either  $|N(\alpha)| \geq 2a + 3$ , or  $\alpha$  is associated to a rational integer.

## Proposition

Let  $K$  be a simplest cubic field with the basis  $B_3(1, 1)$ . Then for all  $\alpha \in \mathcal{O}_K$  either

$$|N(\alpha)| \geq \begin{cases} \frac{a^2+3a+9}{27} & \text{if } a = 21, 30, 48, \\ 2a + 3 & \text{if } a > 48, \end{cases}$$

or  $\alpha$  is associated with a rational integer.

# Universal quadratic forms

Quadratic form  $Q(x_1, \dots, x_n) = \sum_{1 \leq i \leq j \leq n} a_{ij} x_i x_j$  with  $a_{ij} \in \mathcal{O}_K$  is

- *classical* if  $2|a_{ij}$  for all  $i \neq j$ ,
- *totally positive definite* if  $Q(\gamma_1, \dots, \gamma_n) \in \mathcal{O}_K^+$  for all  $\gamma_i \in \mathcal{O}_K$  not all zero,
- *universal* over  $\mathcal{O}_K$  if it represents all elements in  $\mathcal{O}_K^+$

# Universal quadratic forms

Quadratic form  $Q(x_1, \dots, x_n) = \sum_{1 \leq i \leq j \leq n} a_{ij} x_i x_j$  with  $a_{ij} \in \mathcal{O}_K$  is

- *classical* if  $2|a_{ij}$  for all  $i \neq j$ ,
- *totally positive definite* if  $Q(\gamma_1, \dots, \gamma_n) \in \mathcal{O}_K^+$  for all  $\gamma_i \in \mathcal{O}_K$  not all zero,
- *universal* over  $\mathcal{O}_K$  if it represents all elements in  $\mathcal{O}_K^+$

## Theorem

Let  $K$  be a simplest cubic fields with basis  $B_3(1, 1)$ .

- There exists a diagonal universal quadratic form over  $\mathcal{O}_K$  with  $\frac{a^2+3a}{3} + 12a + 12$  variables.
- Every classical universal quadratic form over  $\mathcal{O}_K$  has at least  $\frac{a^2+3a}{54}$  variables.

## Pythagoras number

- let  $\mathcal{O}$  be a commutative ring
- $\sum \mathcal{O}^2 = \left\{ \sum_{i=1}^n \alpha_i^2; \alpha_i \in \mathcal{O}, n \in \mathbb{N} \right\}$
- $\sum^m \mathcal{O}^2 = \left\{ \sum_{i=1}^m \alpha_i^2; \alpha_i \in \mathcal{O} \right\}$
- the Pythagoras number of the ring  $\mathcal{O}$  is

$$\mathcal{P}(\mathcal{O}) = \inf \left\{ m \in \mathbb{N} \cup \{\infty\}; \sum \mathcal{O}^2 = \sum^m \mathcal{O}^2 \right\}$$

## Pythagoras number

- let  $\mathcal{O}$  be a commutative ring
- $\sum \mathcal{O}^2 = \left\{ \sum_{i=1}^n \alpha_i^2; \alpha_i \in \mathcal{O}, n \in \mathbb{N} \right\}$
- $\sum^m \mathcal{O}^2 = \left\{ \sum_{i=1}^m \alpha_i^2; \alpha_i \in \mathcal{O} \right\}$
- the Pythagoras number of the ring  $\mathcal{O}$  is

$$\mathcal{P}(\mathcal{O}) = \inf \left\{ m \in \mathbb{N} \cup \{\infty\}; \sum \mathcal{O}^2 = \sum^m \mathcal{O}^2 \right\}$$

## Theorem

*Let  $K$  be a simplest cubic fields with basis  $B_3(1, 1)$ . Then the Pythagoras number of  $\mathcal{O}_K$  is 6.*

Note that the Pythagoras number of  $\mathbb{Z}[\rho]$  is 6 for  $a \geq 3$  (T., 2023+).

Thank you for your attention.