

The destiny of a waltz and some other stories

Jacques Sakarovitch

CNRS / Université Paris Cité and Télécom Paris, IPP

Numeration 2023, 14 February 2023, Liège University

A session on the occasion of Christiane Frougny's 75th birthday

Based on the paper that introduced the rational base numeration systems:

- ▶ *Powers of rationals modulo 1 and rational base number systems,*
Israel J. Math., 2008 with Sh. Akiyama and Ch. Frougny

and subsequent more recent works on the subject:

- ▶ *Trees and languages with periodic signature,*
Indagationes Mathematicae 2017 with V. Marsault
- ▶ *On subtrees of the representation tree in rational base numeration systems,*
DMTCS 2018 with Sh. Akiyama and V. Marsault

I

From a boosted odometer to a numeration system

0
1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16

0	0
2	1
1	2
0	3
2	4
1	5
0	6
2	7
1	8
0	9
2	10
1	11
0	12
2	13
1	14
0	15
2	16

0		0
2		1
<u>1</u>		2
0		3
2		4
<u>1</u>		5
0		6
2		7
<u>1</u>		8
0		9
2		10
<u>1</u>		11
0		12
2		13
<u>1</u>		14
0		15
<u>2</u>		16

00		0
02		1
<u>21</u>		2
10		3
<u>12</u>		4
<u>01</u>		5
20		6
<u>22</u>		7
<u>11</u>		8
00		9
02		10
<u>21</u>		11
10		12
<u>12</u>		13
<u>01</u>		14
20		15
<u>22</u>		16

00		0
<u>02</u>		1
21		2
<u>10</u>		3
12		4
<u>01</u>		5
20		6
22		7
<u>11</u>		8
00		9
<u>02</u>		10
21		11
<u>10</u>		12
12		13
<u>01</u>		14
20		15
<u>22</u>		16

000		0
<u>002</u>		1
021		2
<u>210</u>		3
<u>212</u>		4
<u>101</u>		5
120		6
122		7
<u>011</u>		8
200		9
202		10
<u>221</u>		11
110		12
112		13
<u>001</u>		14
020		15
<u>022</u>		16

000		0
<u>002</u>		1
<u>021</u>		2
210		3
212		4
<u>101</u>		5
120		6
122		7
<u>011</u>		8
200		9
<u>202</u>		10
<u>221</u>		11
110		12
112		13
<u>001</u>		14
020		15
<u>022</u>		16

0000		0
<u>0002</u>		1
<u>0021</u>		2
<u>0210</u>		3
0212		4
<u>2101</u>		5
2120		6
<u>2122</u>		7
<u>1011</u>		8
1200		9
<u>1202</u>		10
<u>1221</u>		11
0110		12
<u>0112</u>		13
<u>2001</u>		14
2020		15
<u>2022</u>		16

0000		0
0002		1
0021		2
0210		3
0212		4
2101		5
2120		6
2122		7
1011		8
1200		9
1202		10
1221		11
0110		12
0112		13
2001		14
2020		15
2022		16

00000		0
00002		1
00021		2
00210		3
00212		4
02101		5
02120		6
02122		7
21011		8
21200		9
21202		10
21221		11
10110		12
10112		13
12001		14
12020		15
12022		16

00000		0
00002		1
00021		2
00210		3
00212		4
02101		5
02120		6
02122		7
21011		8
21200		9
21202		10
21221		11
10110		12
10112		13
12001		14
12020		15
12022		16

000000		0
000002		1
000021		2
000210		3
000212		4
002101		5
002120		6
002122		7
021011		8
021200		9
021202		10
021221		11
210110		12
210112		13
212001		14
212020		15
212022		16

The base $\frac{3}{2}$ numeration system — the Euclidean approach

$$U = \left\{ u_i = \frac{1}{2} \left(\frac{3}{2}\right)^i \mid i \in \mathbb{N} \right\} \quad \text{together with} \quad A_3 = \{0, 1, 2\}$$

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Modified division algorithm $N \in \mathbb{N}$

$$N_0 = N$$

$$2N_0 = 3N_1 + a_0 \quad a_0 \in A$$

$$2N_1 = 3N_2 + a_1 \quad a_1 \in A$$

...

$$N = \sum_0^k a_i \frac{1}{2} \left(\frac{3}{2} \right)^i$$

$$\langle N \rangle_{\frac{3}{2}} = a_k a_{k-1} \dots a_1 a_0$$

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Modified division algorithm $5 \in \mathbb{N}$

$$N_0 = 5$$

$$2N_0 = 2 \cdot 5 = 3 \cdot 3 + 1 \quad 1 \in A$$

$$2N_1 = 2 \cdot 3 = 3 \cdot 2 + 0 \quad 0 \in A$$

$$2N_2 = 2 \cdot 2 = 3 \cdot 1 + 1 \quad 1 \in A$$

$$2N_3 = 2 \cdot 1 = 3 \cdot 0 + 2 \quad 2 \in A$$

$$5 = \frac{1}{2} \left[\left(\left(\left((2) \cdot \frac{3}{2} + 1 \right) \cdot \frac{3}{2} + 0 \right) \cdot \frac{3}{2} + 1 \right) \right] \quad \langle 5 \rangle_{\frac{3}{2}} = 2101$$

The base $\frac{3}{2}$ numeration system — the Euclidean approach

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Theorem

Every N in \mathbb{N} has an *integer* representation in the $\frac{3}{2}$ -system.

It is the *unique finite* $\frac{3}{2}$ -representation of N .

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and we denote it by $\langle N \rangle_{\frac{3}{2}}$.

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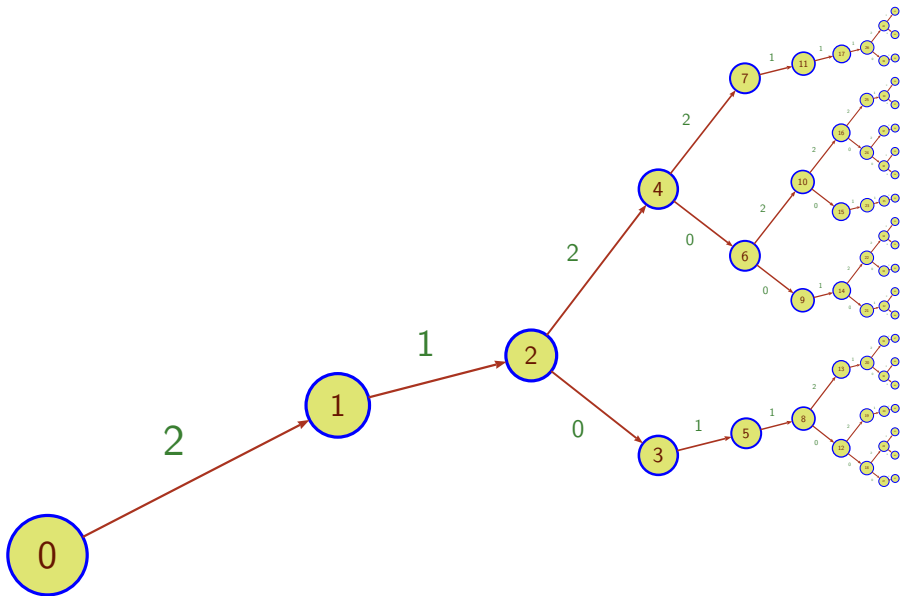
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$$L_{\frac{3}{2}} = \left\{ \langle N \rangle_{\frac{3}{2}} \mid N \in \mathbb{N} \right\} = \text{????}$$

	0	212211	17
2	1	2101100	18
21	2	2101102	19
210	3	2101121	20
212	4	2120010	21
2101	5	2120012	22
2120	6	2120201	23
2122	7	2120220	24
21011	8	2120222	25
21200	9	2122111	26
21202	10	21011000	27
21221	11	21011002	28
210110	12	21011021	29
210112	13	21011210	30
212001	14	21011212	31
212020	15	21200101	32
212022	16	21200120	33



The tree $T_{\frac{3}{2}}$ of the $\frac{3}{2}$ -expansions

$L_{\frac{3}{2}}$ prefix-closed $\implies L_{\frac{3}{2}}$ spans the edges
of a subtree $T_{\frac{3}{2}}$ of the full 3-ary tree.

The nodes of $T_{\frac{3}{2}}$ are labeled by the integers.

The label of a node is the integer represented
by the label of the path from the root to that node.

These labels give *the radix order* in $L_{\frac{3}{2}}$.

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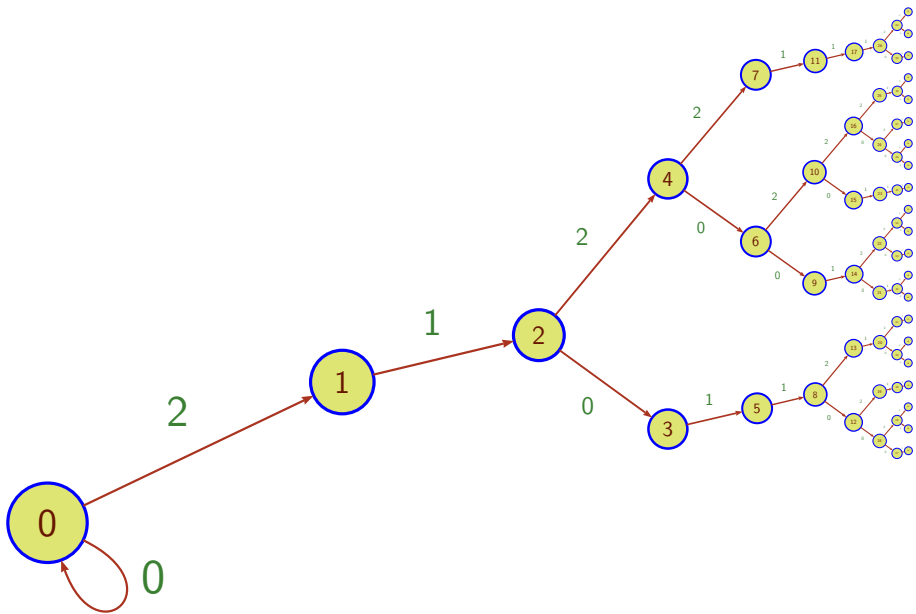
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These labels give *the radix order* in $L_{\frac{3}{2}}$.

Any two distinct subtrees of $T_{\frac{3}{2}}$ are not isomorphic.

II

Periodic signatures



Meta theorem

The $T_{\frac{p}{q}}$ are characterised by their *periodic signature*.

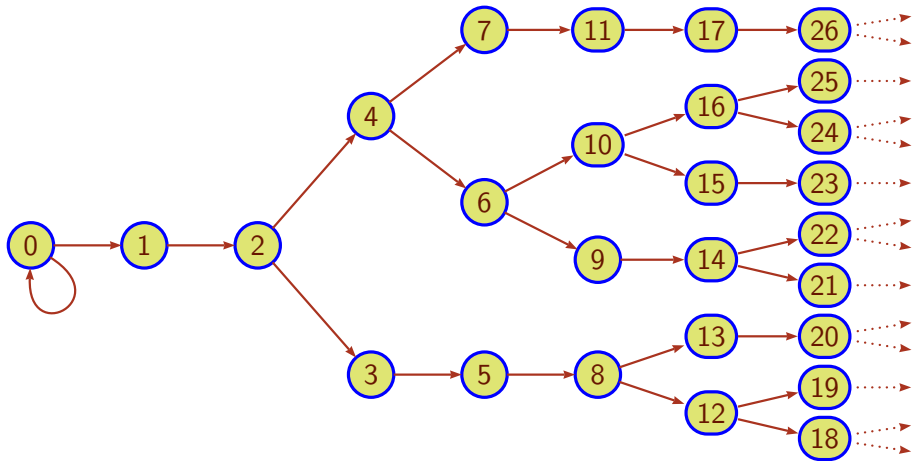
Signature of a tree

Definition

Signature of an ordered tree \mathcal{T} =
sequence of the degrees of the nodes
in the breadth-first traversal of \mathcal{T}

Signature of a tree

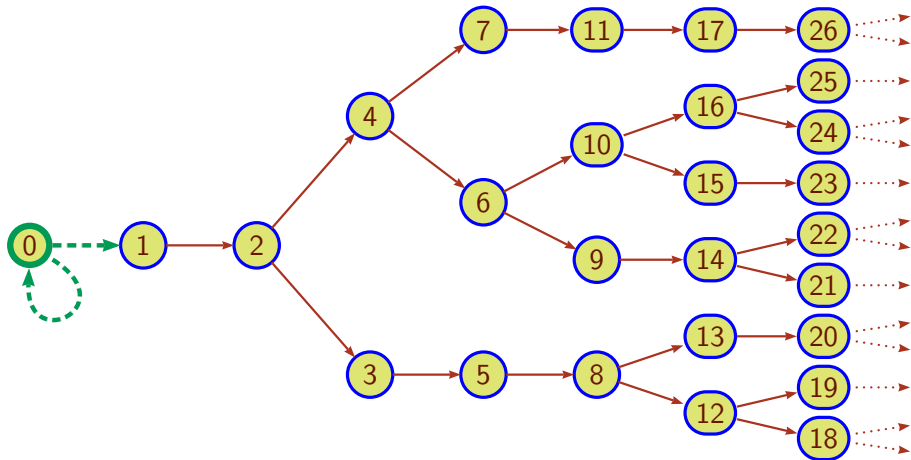
Signature = sequence of the degrees



s =

Signature of a tree

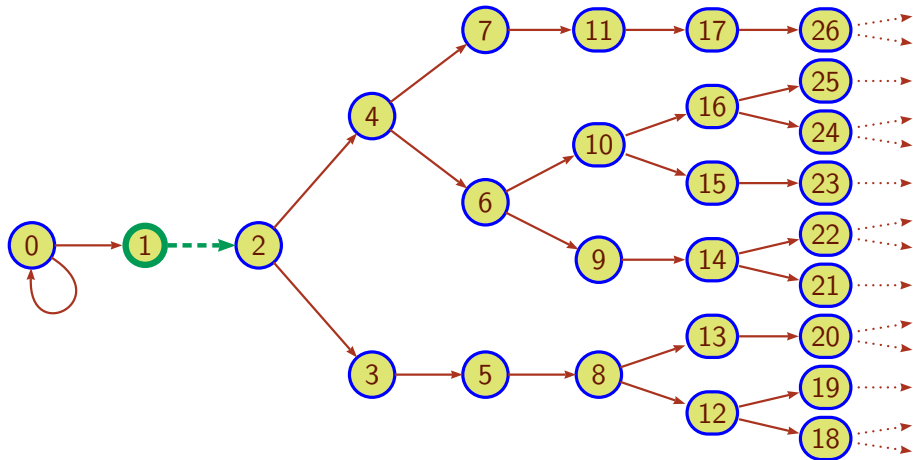
Signature = sequence of the degrees



$$s = 2$$

Signature of a tree

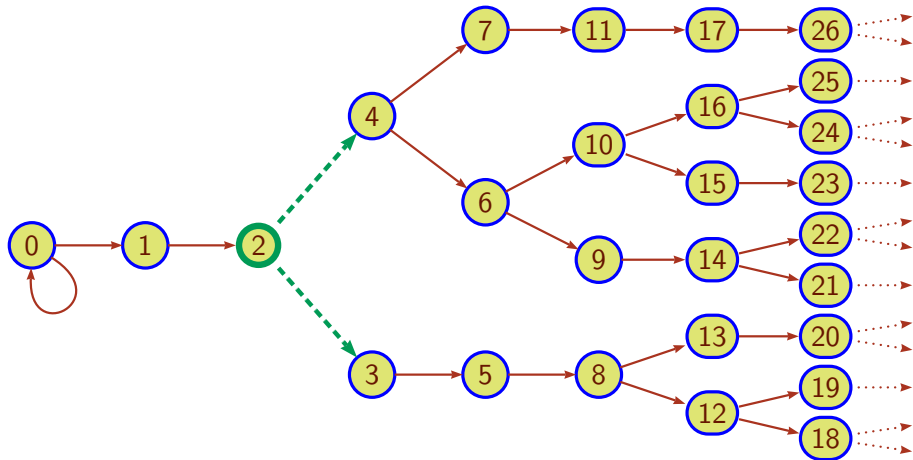
Signature = sequence of the degrees



$$s = 2 \ 1$$

Signature of a tree

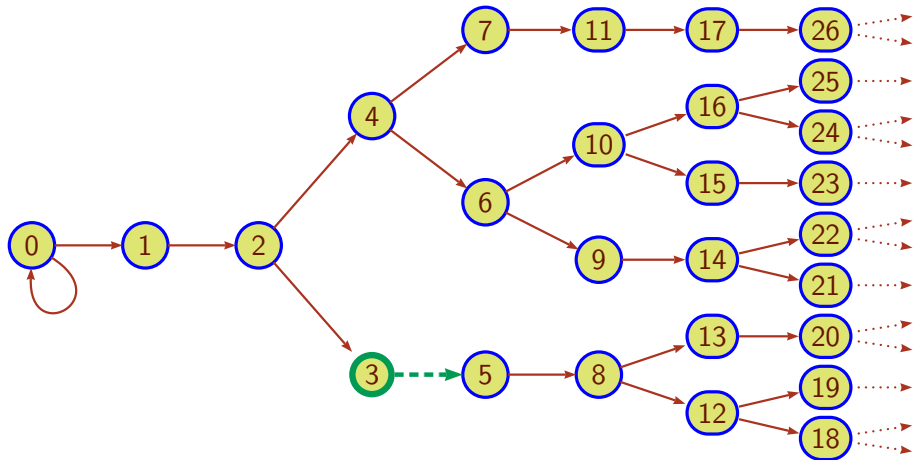
Signature = sequence of the degrees



$$s = 2 \ 1 \ 2$$

Signature of a tree

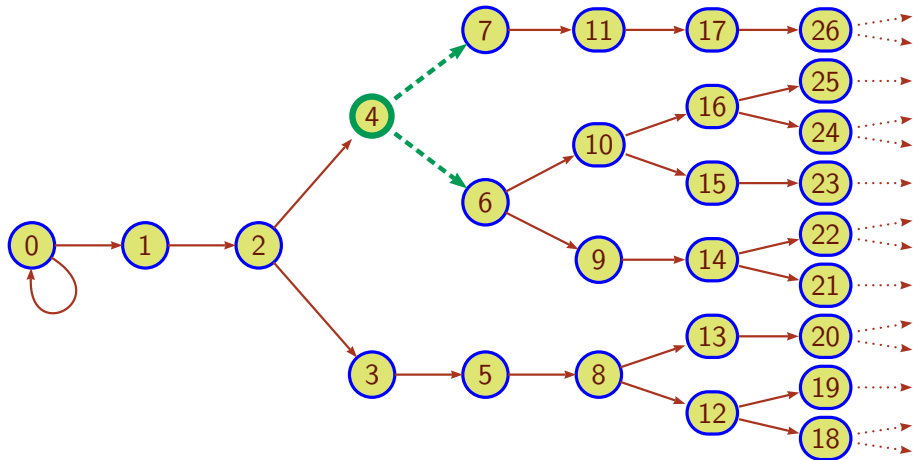
Signature = sequence of the degrees



$$s = 2 \ 1 \ 2 \ 1$$

Signature of a tree

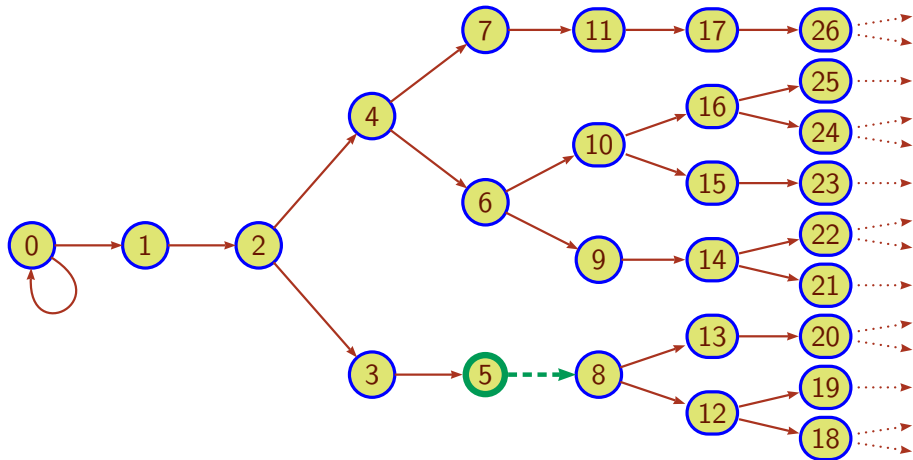
Signature = sequence of the degrees



$$\mathbf{s} = 2 \ 1 \ 2 \ 1 \ 2$$

Signature of a tree

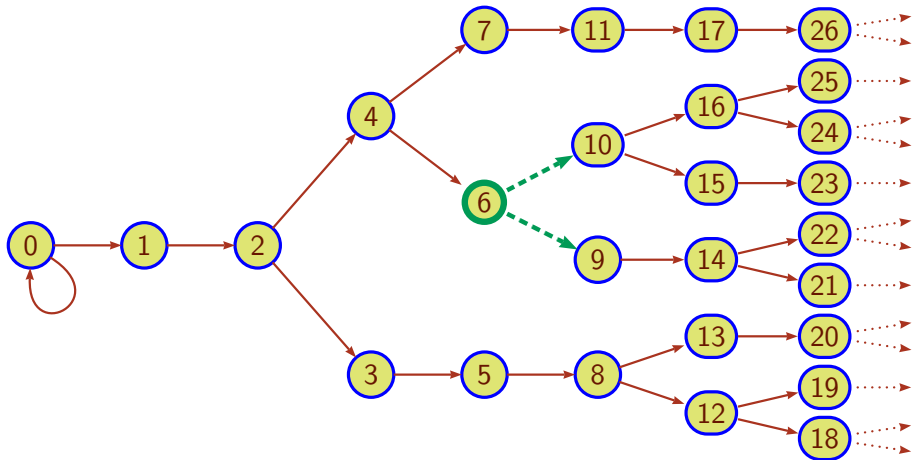
Signature = sequence of the degrees



$s = 2\ 1\ 2\ 1\ 2\ 1$

Signature of a tree

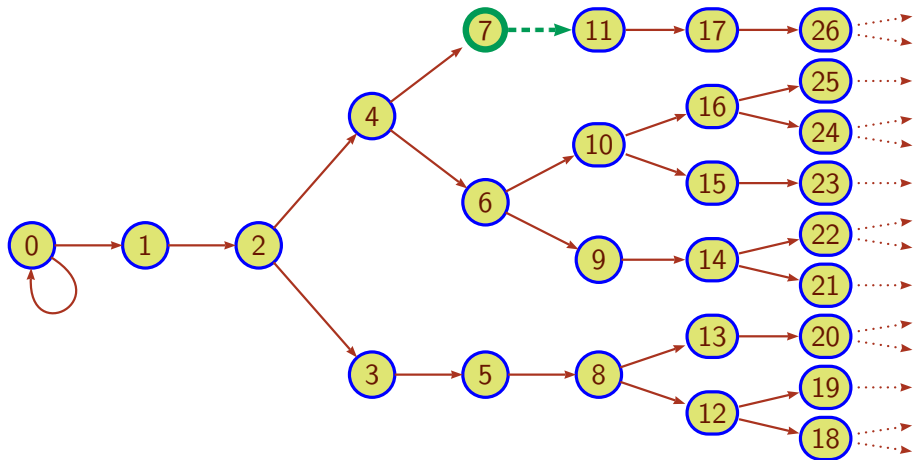
Signature = sequence of the degrees



$s = 2\ 1\ 2\ 1\ 2\ 1\ 2$

Signature of a tree

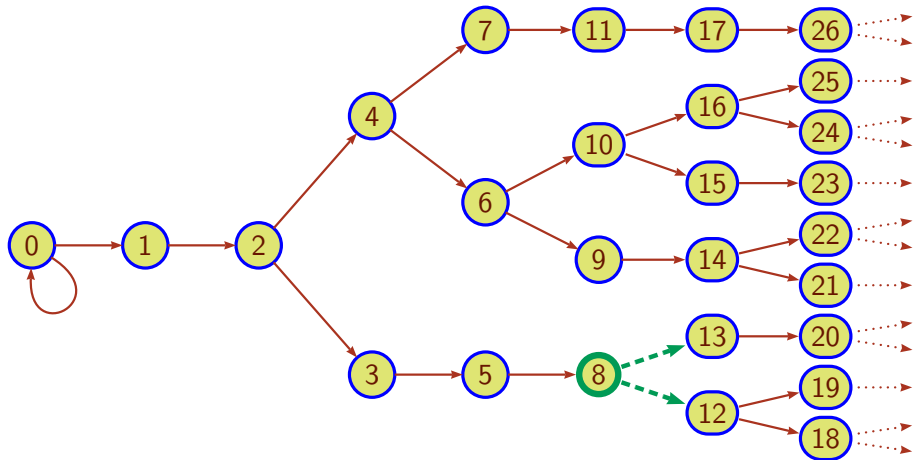
Signature = sequence of the degrees



$s = 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1$

Signature of a tree

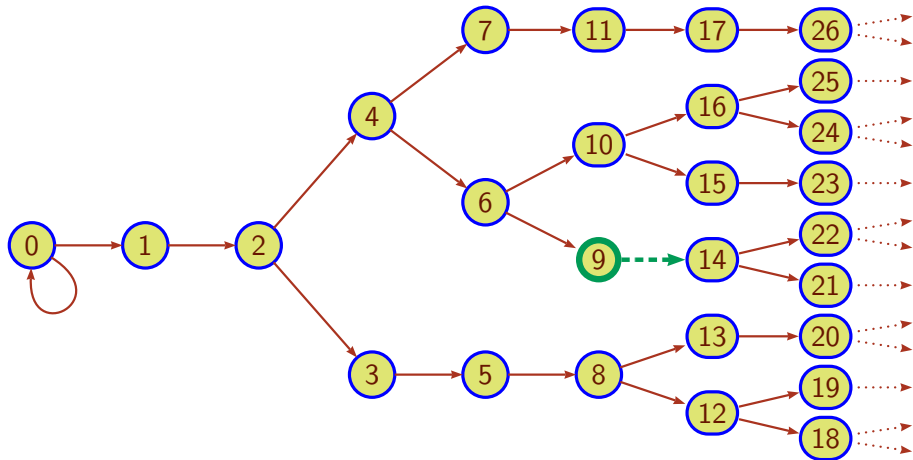
Signature = sequence of the degrees



$s = 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2$

Signature of a tree

Signature = sequence of the degrees



$s = 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1$

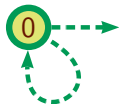
Tree from a signature

Signature = sequence of the degrees

$$\mathbf{s} = 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ \cdots$$

Tree from a signature

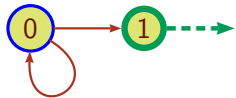
Signature = sequence of the degrees



$s = 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ \dots$

Tree from a signature

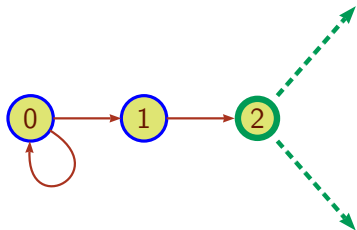
Signature = sequence of the degrees



$\mathbf{s} = 2 \mathbf{1} 2 1 2 1 2 1 2 1 2 1 2 1 2 1 \dots$

Tree from a signature

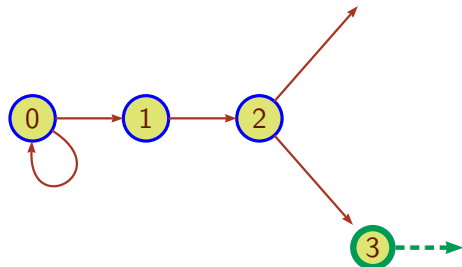
Signature = sequence of the degrees



$\mathbf{s} = 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ \dots$

Tree from a signature

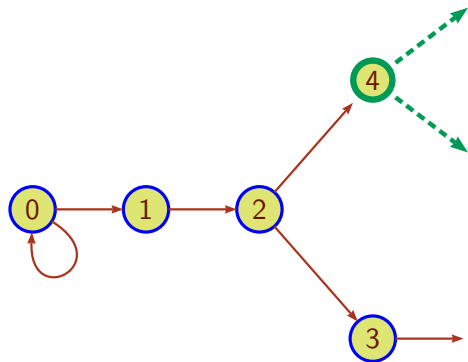
Signature = sequence of the degrees



$\mathbf{s} = 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ \dots$

Tree from a signature

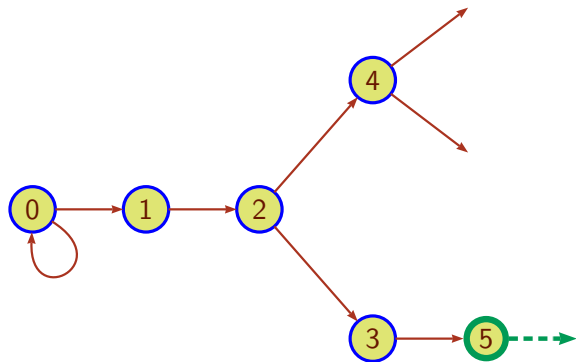
Signature = sequence of the degrees



$\mathbf{s} = 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ \dots$

Tree from a signature

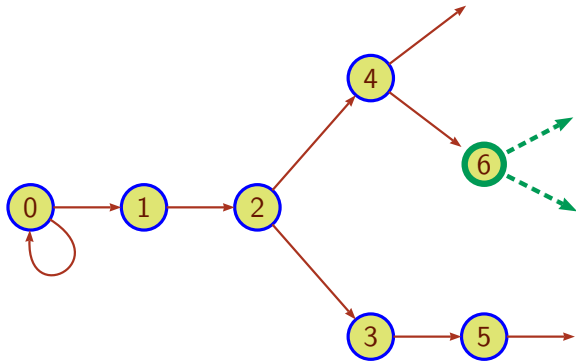
Signature = sequence of the degrees



$\mathbf{s} = 2\ 1\ 2\ 1\ 2\ \mathbf{1}\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ \dots$

Tree from a signature

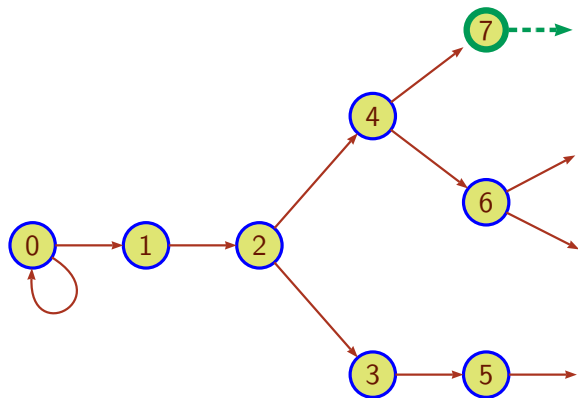
Signature = sequence of the degrees



$\mathbf{s} = 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ \dots$

Tree from a signature

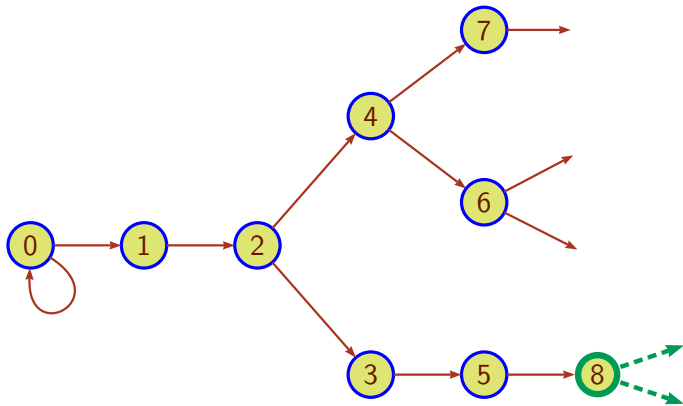
Signature = sequence of the degrees



$\mathbf{s} = 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ \dots$

Tree from a signature

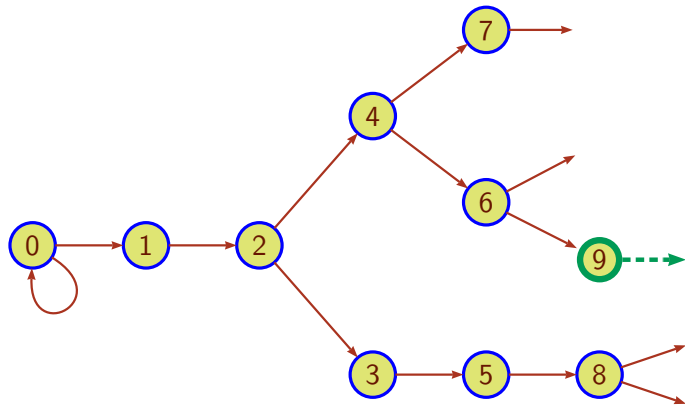
Signature = sequence of the degrees



$\mathbf{s} = 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ \dots$

Tree from a signature

Signature = sequence of the degrees



$\mathbf{s} = 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ \dots$

Labelled signature of a labelled tree

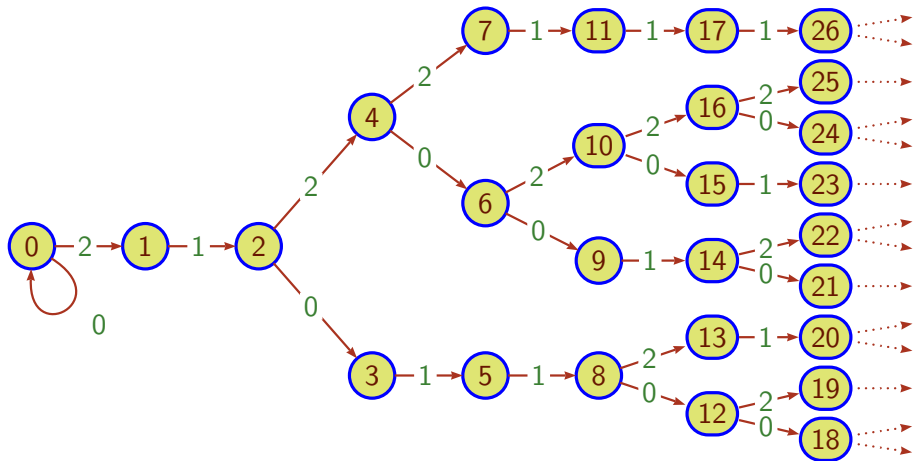
Arcs of \mathcal{T} labelled in an ordered alphabet A

Definition

Labelled signature of an ordered tree $\mathcal{T} =$
signature of $\mathcal{T} +$
sequence of the labels of the arcs
in the breadth-first traversal of \mathcal{T}

labelled signature $(\mathbf{s}, \boldsymbol{\lambda})$

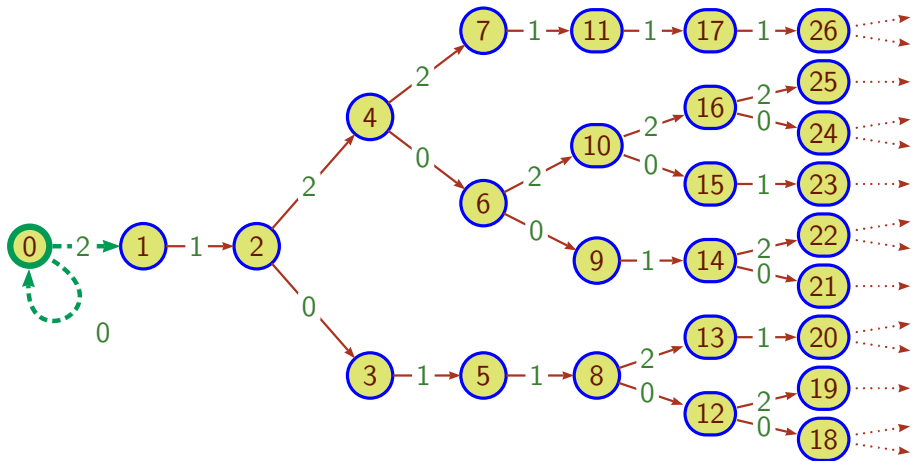
Labelled signature of a labelled tree



$s =$

$\lambda =$

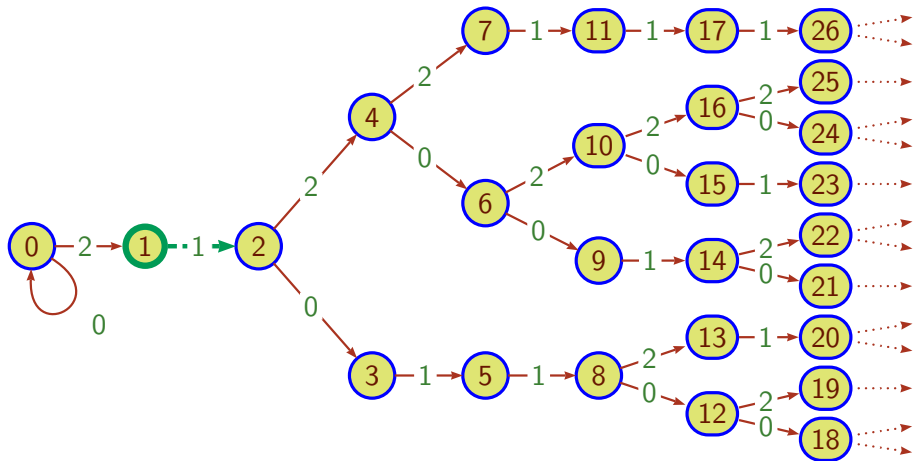
Labelled signature of a labelled tree



$$s = 2$$

$$\lambda = 02$$

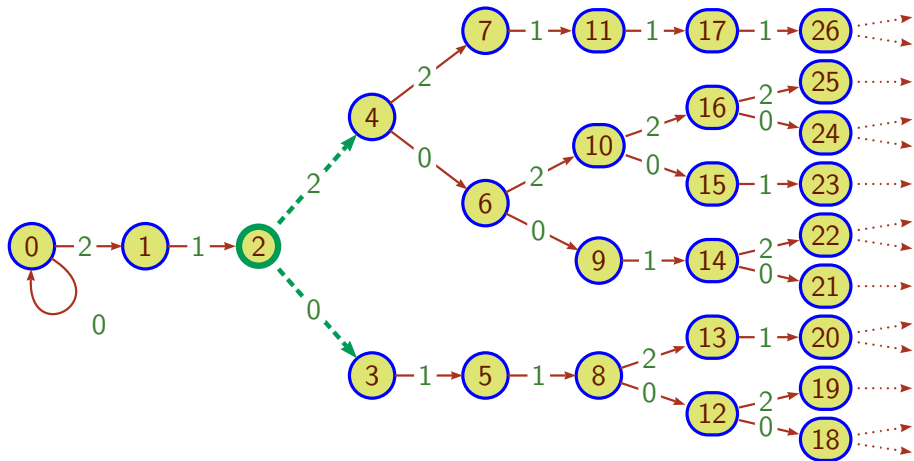
Labelled signature of a labelled tree



$$s = 2 \ 1$$

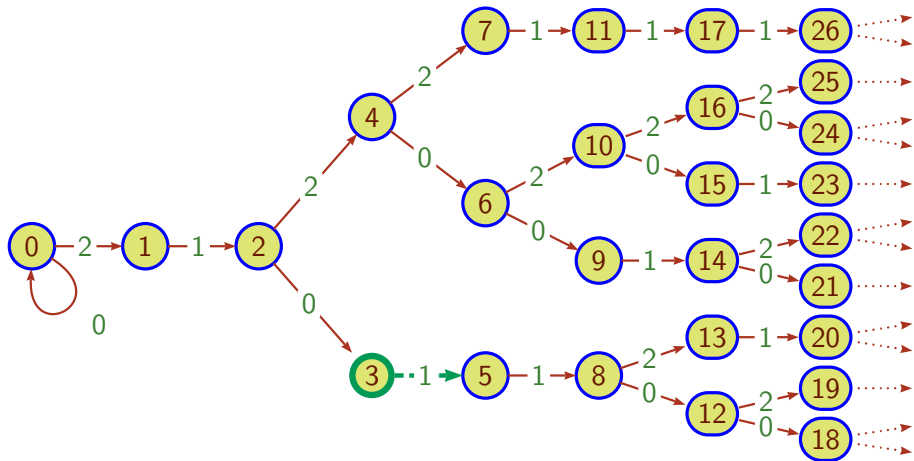
$$\lambda = 02 \ 1$$

Labelled signature of a labelled tree



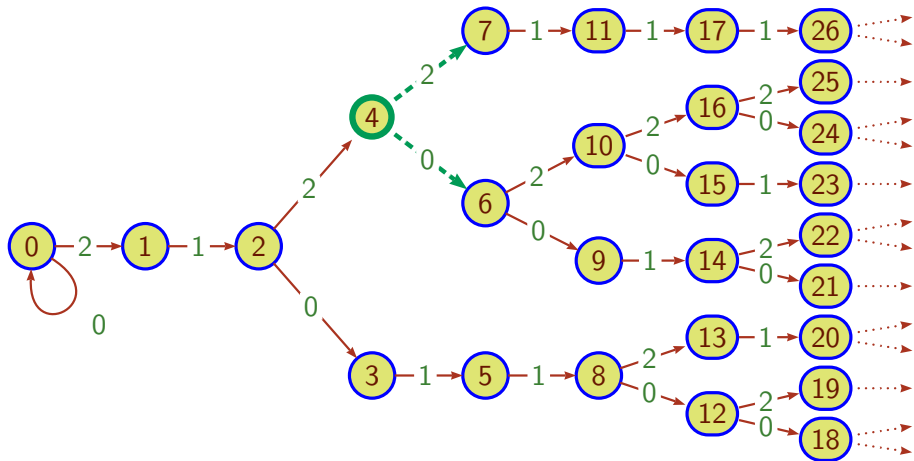
$s = 2 \ 1 \ 2$
 $\lambda = 02 \ 1 \ 02$

Labelled signature of a labelled tree



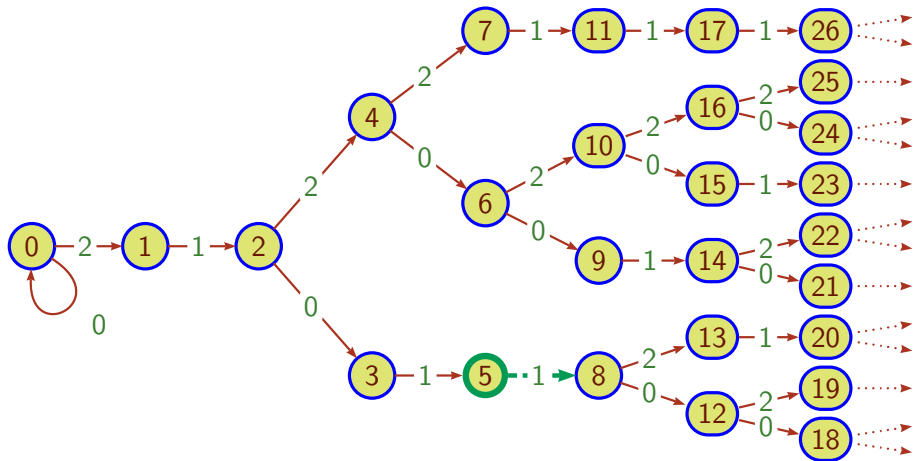
$\mathbf{s} = 2 \ 1 \ 2 \ 1$
 $\boldsymbol{\lambda} = 0 \ 2 \ 1 \ 0 \ 2 \ 1$

Labelled signature of a labelled tree



$\mathbf{s} = 2\ 1\ 2\ 1\ 2$
 $\boldsymbol{\lambda} = 02\ 1\ 02\ 1\ 02$

Labelled signature of a labelled tree



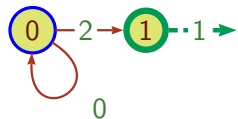
$\mathbf{s} = 2\ 1\ 2\ 1\ 2\ 1$

$\boldsymbol{\lambda} = 02\ 102\ 102\ 1$

Labelled tree from a labelled signature

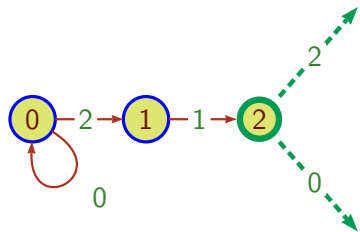
$$\begin{aligned} \mathbf{s} &= 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ \cdots \\ \boldsymbol{\lambda} &= 0 \ 2 \ 1 \ 0 \ 2 \ 1 \ 0 \ 2 \ 1 \ 0 \ 2 \ 1 \ 0 \ 2 \ 1 \ 0 \ 2 \ 1 \ 0 \ 2 \ 1 \ \cdots \end{aligned}$$

Labelled tree from a labelled signature



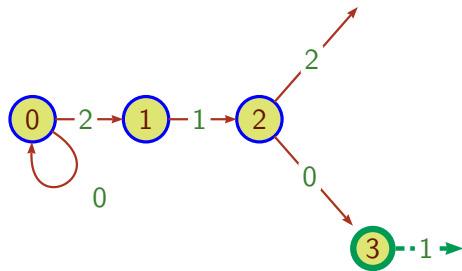
$\mathbf{s} = 2 \mathbf{1} 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1 \dots$
 $\lambda = 02 \mathbf{1} 02 1 02 1 02 1 02 1 02 1 02 1 02 1 02 1 \dots$

Labelled tree from a labelled signature



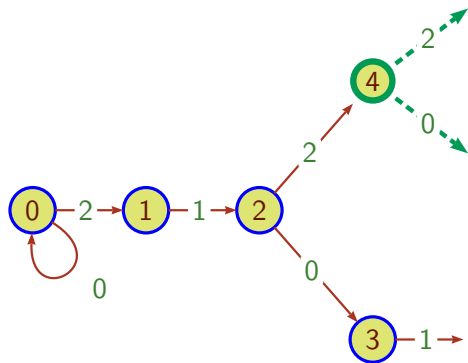
$\mathbf{s} = 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1\ 2\ 1 \dots$
 $\lambda = 0\ 2\ 1\ 0\ 2\ 1\ 0\ 2\ 1\ 0\ 2\ 1\ 0\ 2\ 1\ 0\ 2\ 1\ 0\ 2\ 1 \dots$

Labelled tree from a labelled signature



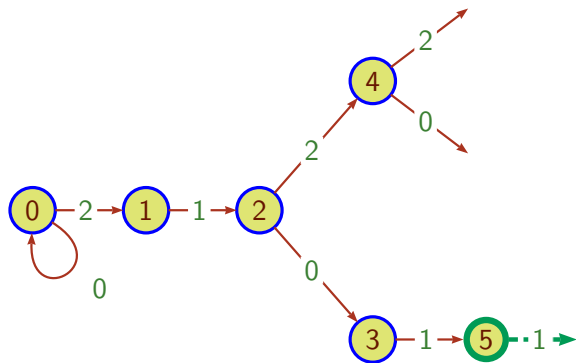
$\mathbf{s} = 2 \ 1 \ 2 \ \mathbf{1} \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ \dots$
 $\lambda = 02102\ \mathbf{1}021021021021021021021021021021021021021 \dots$

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Signature of $T_{\frac{p}{q}}$

p, q coprime integers $p > q \geq 1$

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p, q coprime integers $p > q \geq 1$

Theorem

The (labelled) signature of $T_{\frac{p}{q}}$ is purely periodic.

Rhythm

p, q coprime integers $p > q \geq 1$

A purely periodic signature

$$\mathbf{s} = \mathbf{r}^\omega$$

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Definition

\mathbf{r} rhythm of directing parameter (q, p)

$$\mathbf{r} = (r_0, r_1, \dots, r_{q-1})$$

$$\sum_{i=0}^{q-1} r_i = p$$

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Example

Rhythms of dir. par. $(3, 5)$: $(3, 1, 1)$ $(2, 2, 1)$ $(1, 2, 2)$

Rhythm

p, q coprime integers $p > q \geq 1$

Geometric representation

$$\mathbf{r} = (r_0, r_1, \dots, r_{q-1})$$

$$\text{path}(\mathbf{r}) = y^{r_0} x y^{r_1} x y^{r_2} \dots x y^{r_{q-1}} x$$

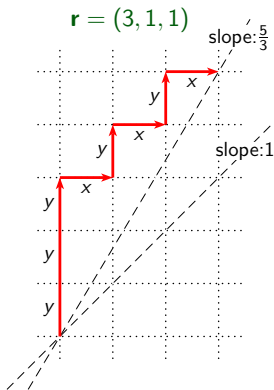
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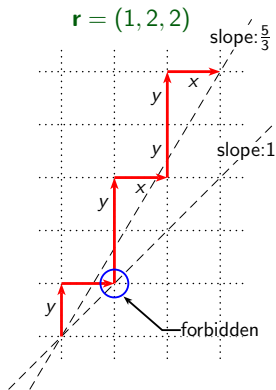
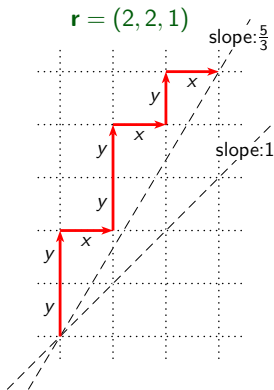
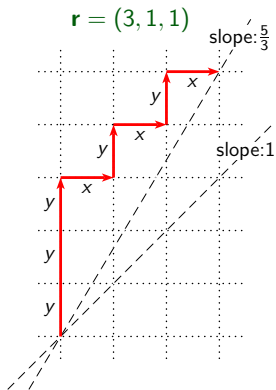
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Christoffel rhythm $r_{\frac{p}{q}}$

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\mathbf{r} Christoffel rhythm if $\text{path}(\mathbf{r})$ Christoffel word

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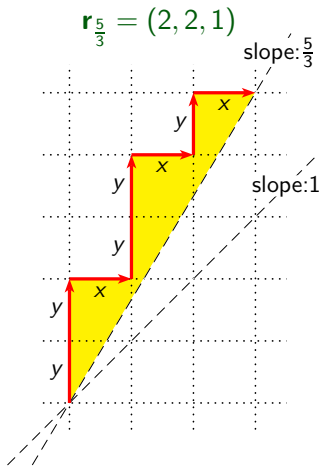
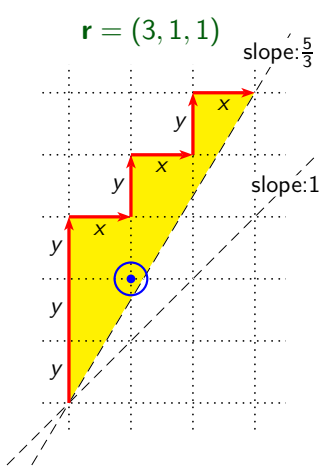
$\text{path}(\mathbf{r})$ Christoffel word if no integer point between $\text{path}(\mathbf{r})$ and slope

Christoffel rhythm $r_{\frac{p}{q}}$

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Signature of $T_{\frac{p}{q}}$

p, q coprime integers, $p > q \geq 1$

Theorem

The signature of $T_{\frac{p}{q}}$ is purely periodic of period $\mathbf{r}_{\frac{p}{q}}$.

Rhythm and labelling

p, q coprime integers $p > q \geq 1$ A *ordered* alphabet

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\mathbf{r} rhythm of dir. par. (q, p) $\boldsymbol{\gamma} = (\gamma_0, \gamma_1, \dots, \gamma_{p-1})$ $\gamma_i \in A$

Rhythm and labelling

p, q coprime integers $p > q \geq 1$ A *ordered* alphabet

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$$(\mathbf{s}, \lambda) = (\mathbf{r}^\omega, \gamma^\omega)$$

\mathbf{r} rhythm of dir. par. (q, p) $\gamma = (\gamma_0, \gamma_1, \dots, \gamma_{p-1})$ $\gamma_i \in A$

Definition

$$\mathbf{r} = (r_0, r_1, \dots, r_{q-1})$$

$\gamma = u_0 u_1 \cdots u_{q-1}$ **factorisation induced** by \mathbf{r} $|u_i| = r_i$

γ **consistent** with \mathbf{r} every u_i **increasing** word

Christoffel labelling

p, q coprime integers $p > q \geq 1$ alphabet: $\{0, 1, \dots, p-1\}$

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p, q coprime integers $p > q \geq 1$ alphabet: $\{0, 1, \dots, p-1\}$

Definition

$$\gamma_{\frac{p}{q}} = (0, (q \% p), (2q \% p), \dots, ((p-1)q \% p)) .$$

Christoffel labelling

p, q coprime integers $p > q \geq 1$ alphabet: $\{0, 1, \dots, p-1\}$

Definition

$$\gamma_{\frac{p}{q}} = (0, (q \% p), (2q \% p), \dots, ((p-1)q \% p)) .$$

Proposition

$\gamma_{\frac{p}{q}}$ is consistent with $\mathbf{r}_{\frac{p}{q}}$

Signature of $T_{\frac{p}{q}}$

p, q coprime integers, $p > q \geq 1$

Theorem

The labelled signature of $T_{\frac{p}{q}}$ is purely periodic of period $(\mathbf{r}_{\frac{p}{q}}, \gamma_{\frac{p}{q}})$.

The tree T_r

p, q coprime integers $p > q \geq 1$

r rhythm of directing parameter (q, p) γ_r special labelling

Definition

T_r labelled tree with labelled signature $(r^\omega, \gamma_r^\omega)$

Theorem

L_r is the representation of integers in base $\frac{p}{q}$
with *non-canonical set of digits*.

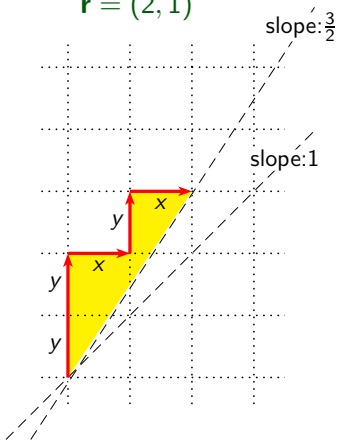
Corollary

$L_{\frac{p}{q}}$ is the image of L_r by
a *finite letter-to-letter sequential right transducer*.

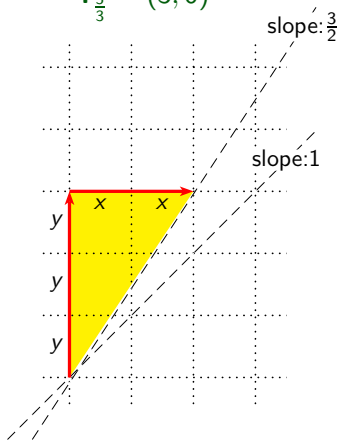
Rhythm of directing parameters (2, 3)

Two possibilities

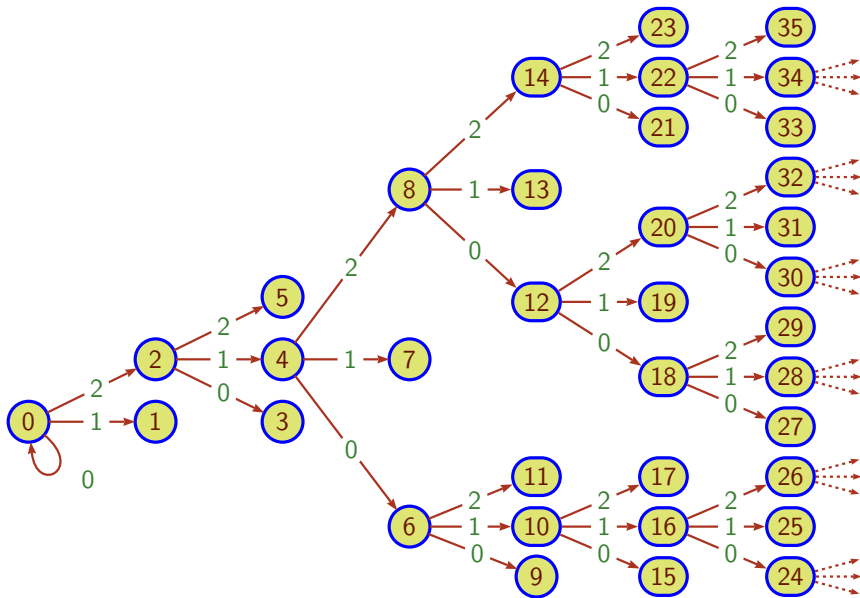
$$\mathbf{r} = (2, 1)$$



$$\mathbf{r}_{\frac{5}{3}} = (3, 0)$$

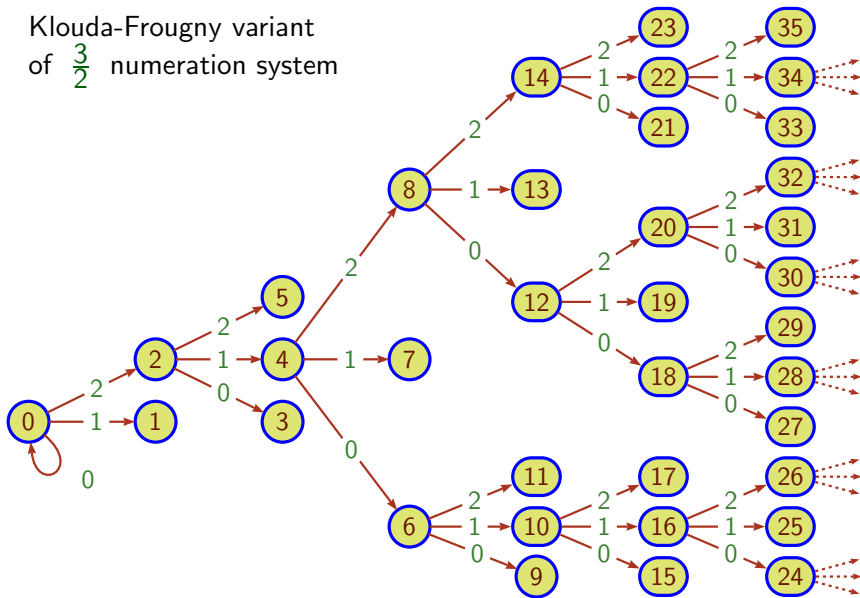


Tree of rhythm (3, 0)



Tree of rhythm (3, 0)

Kluda-Frougny variant
of $\frac{3}{2}$ numeration system



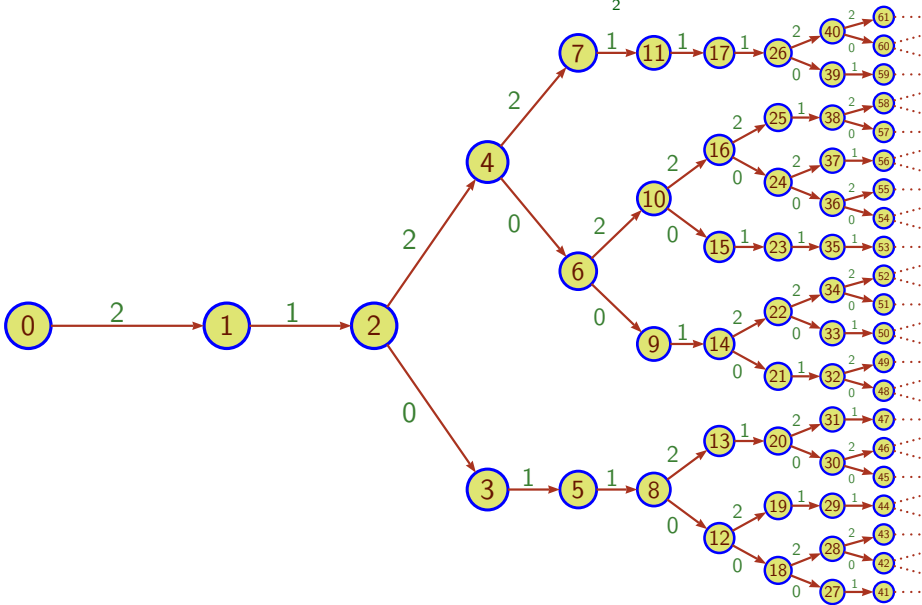
III

A property still missing a proper name

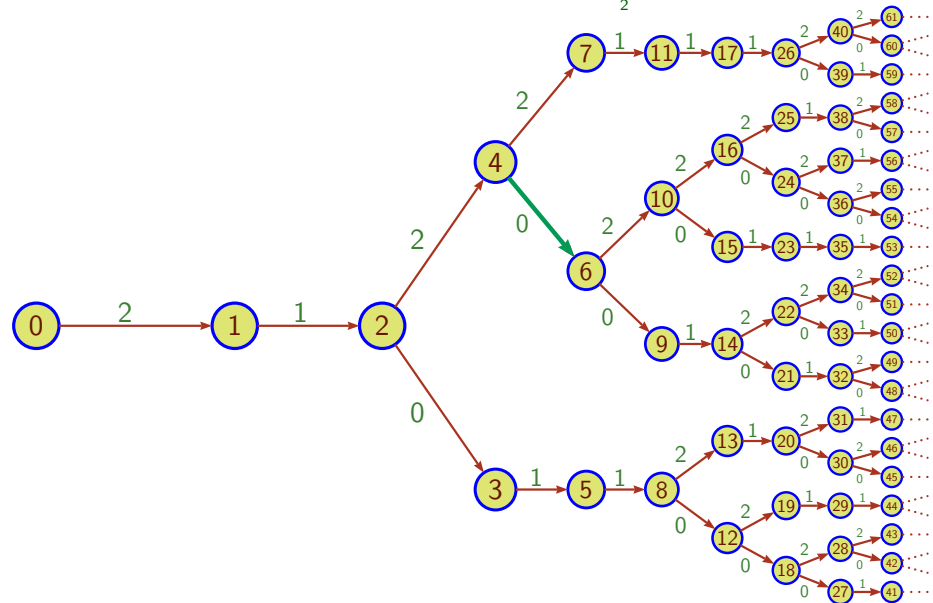
III

Another rabbit from the hat

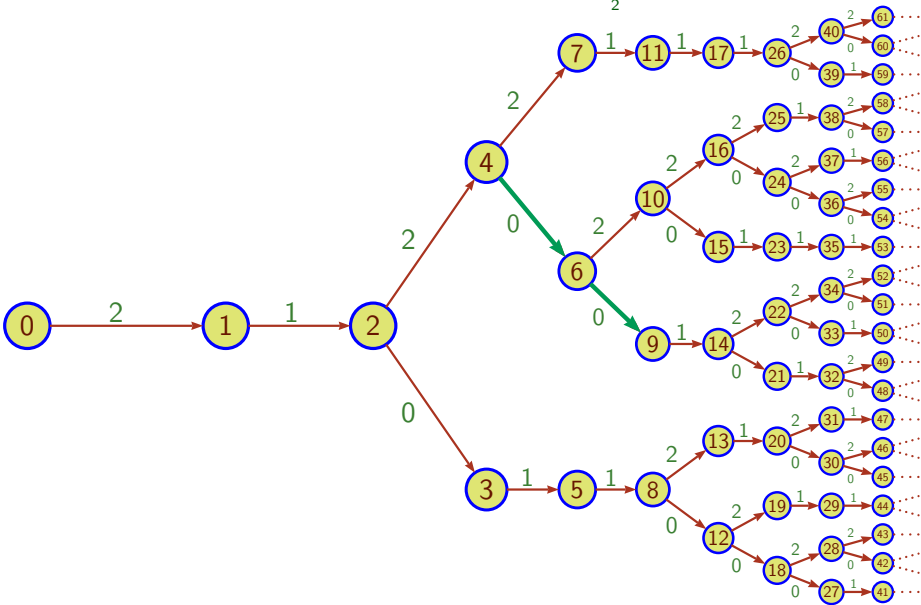
Minimal words in $T_{\frac{3}{2}}$



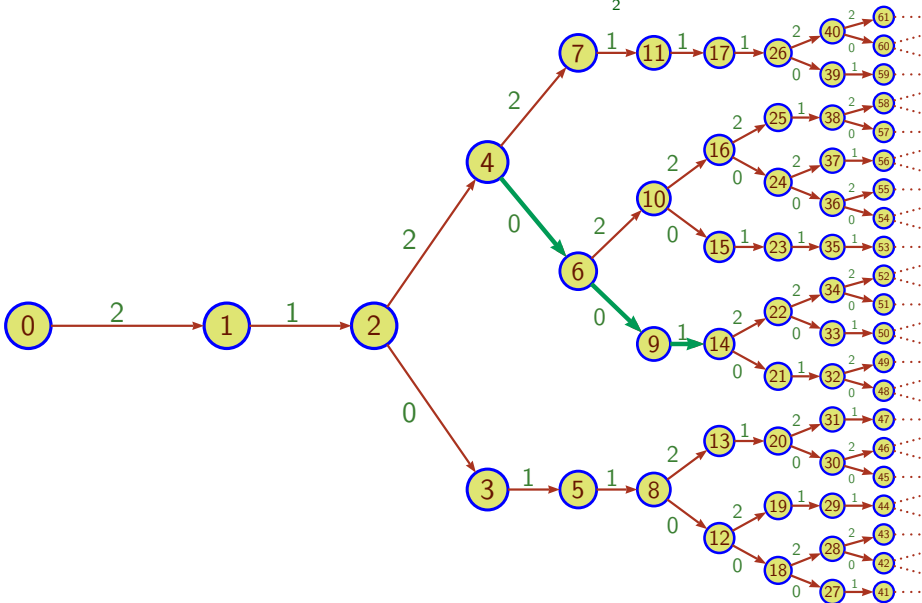
Minimal words in $T_{\frac{3}{2}}$



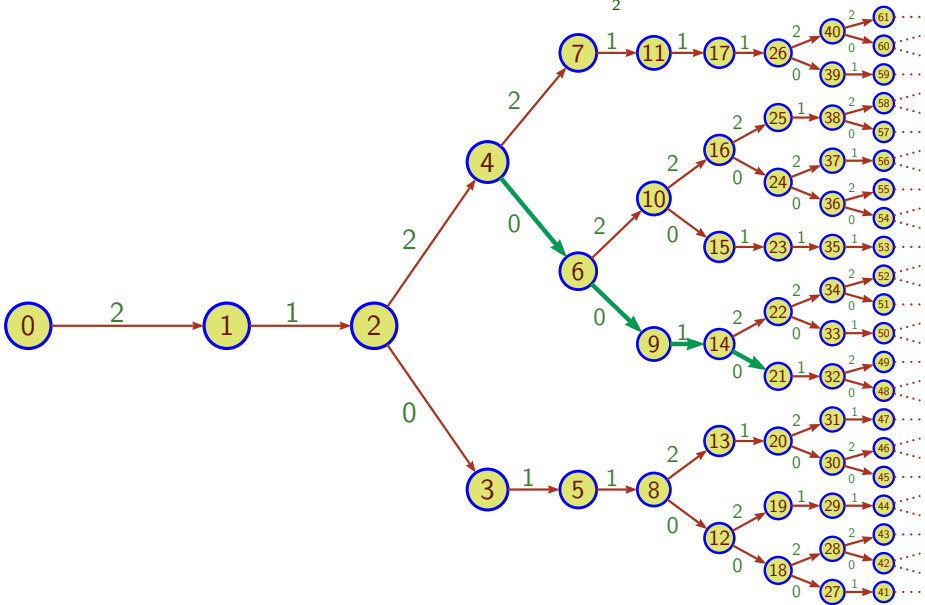
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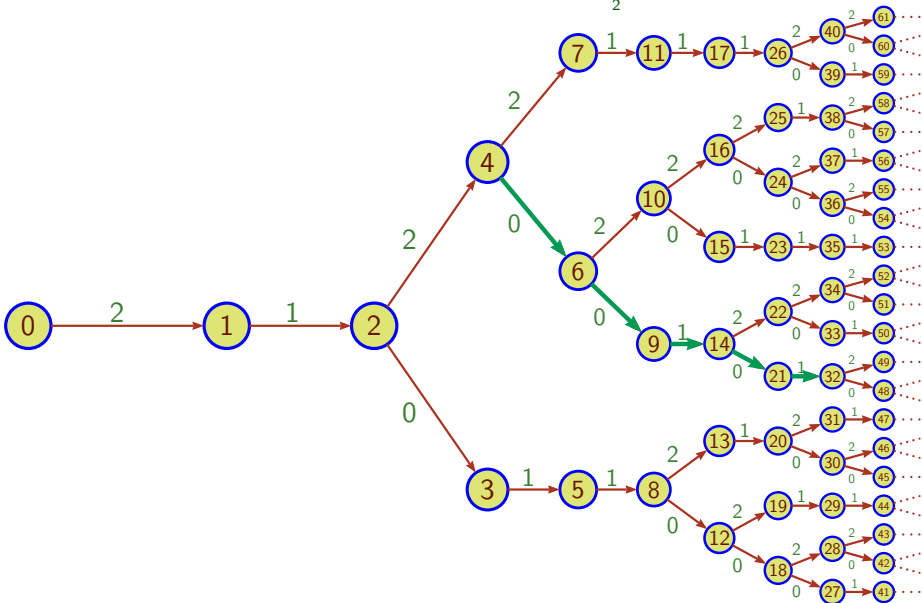
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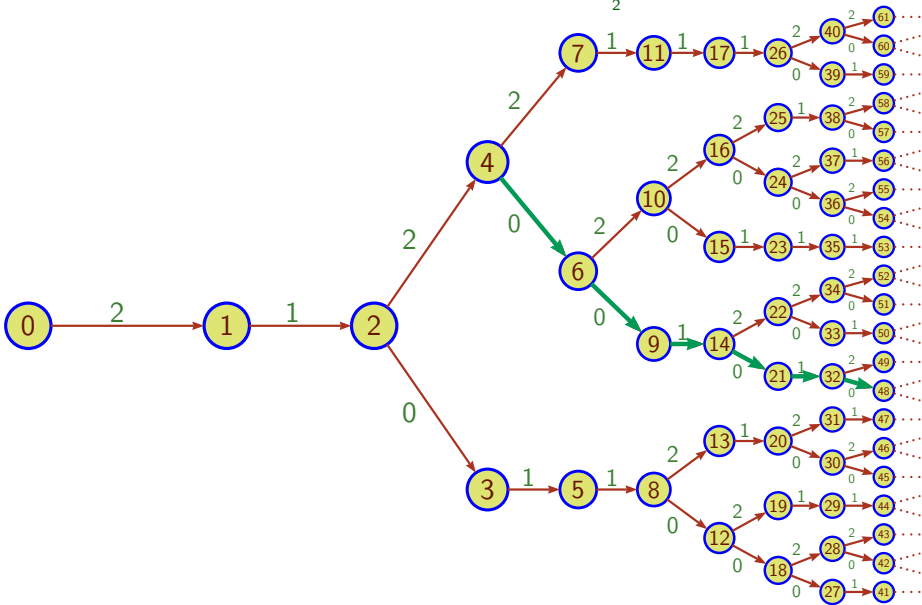
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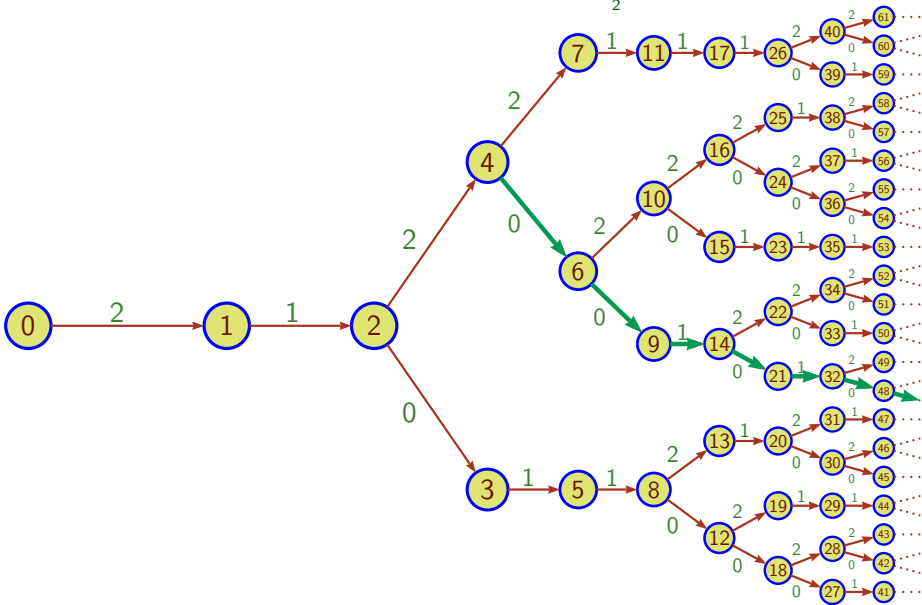
Minimal words in $T_{\frac{3}{2}}$



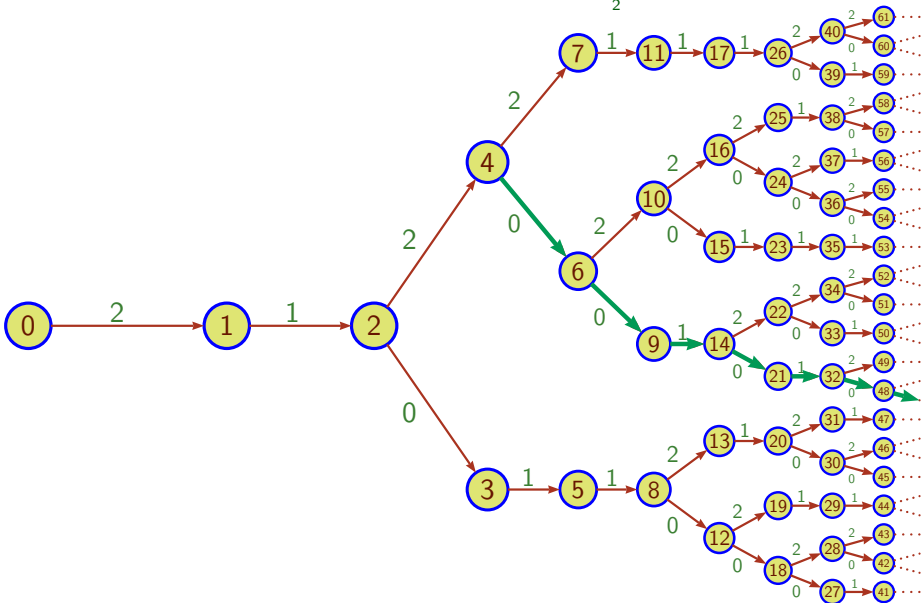
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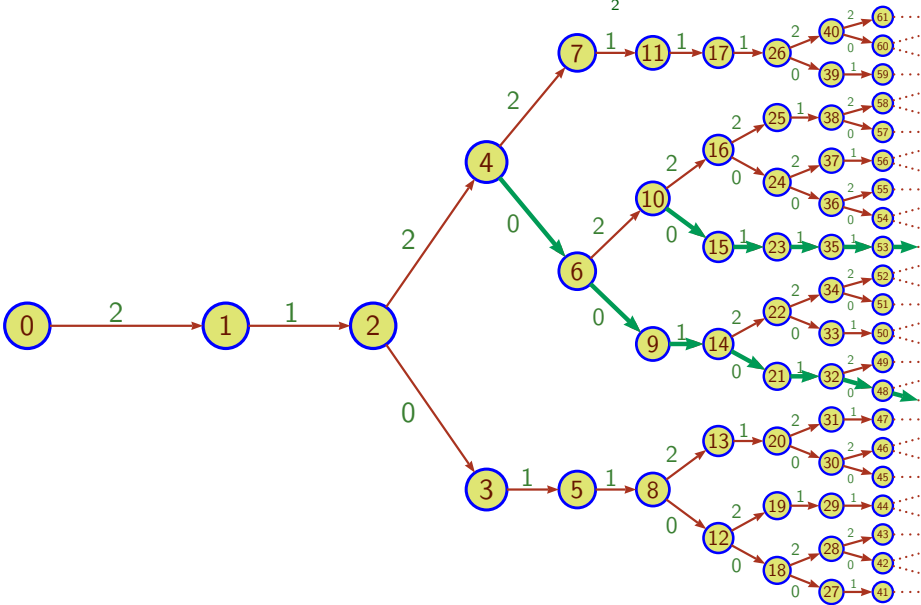
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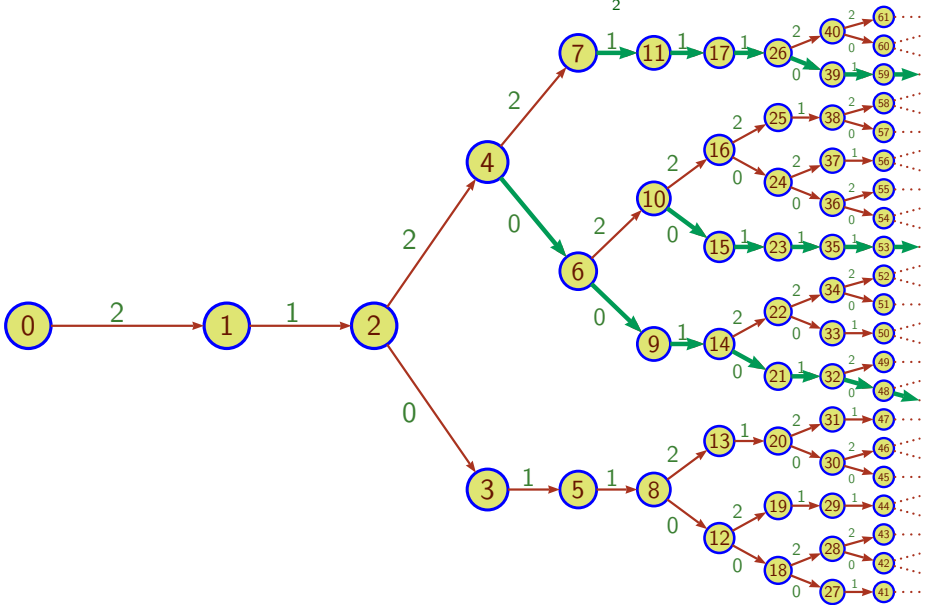
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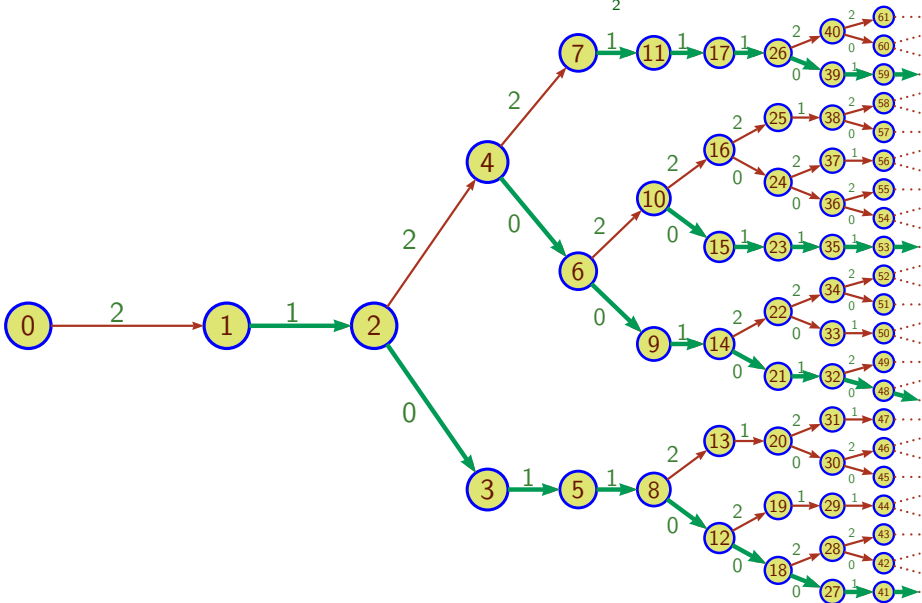
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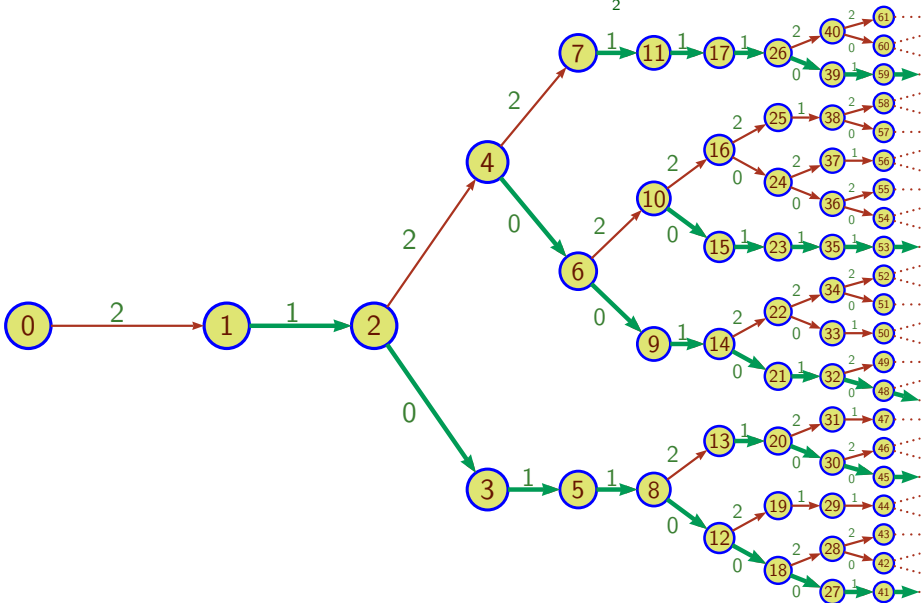
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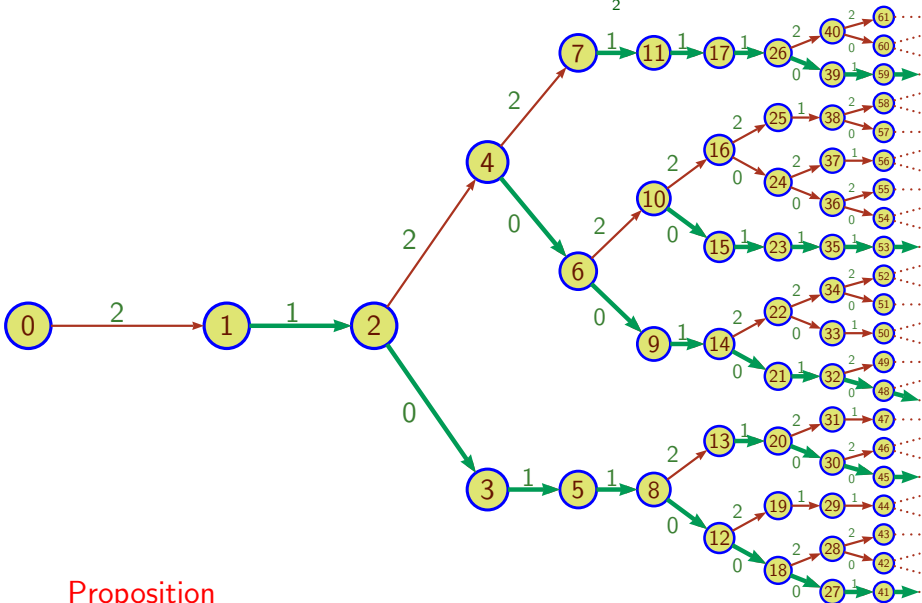
Minimal words in $T_{\frac{3}{2}}$



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Minimal words in $T_{\frac{3}{2}}$



Proposition

The \mathbf{w}_n^- are all distinct words of $\{0, 1\}^\omega$.

Minimal words in $T_{\frac{3}{2}}$

Problem

What is the relation between the \mathbf{w}_n^- ?

Minimal words in $T_{\frac{3}{2}}$

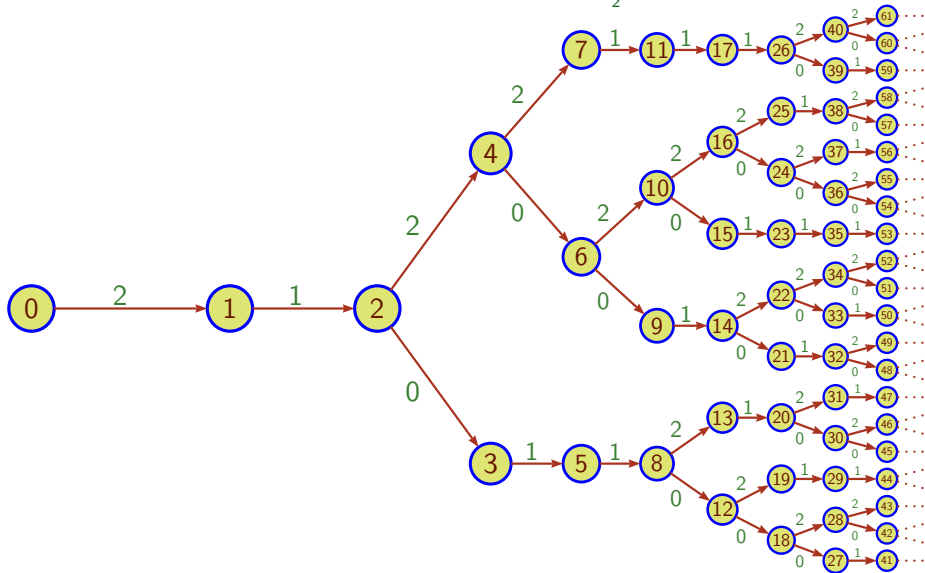
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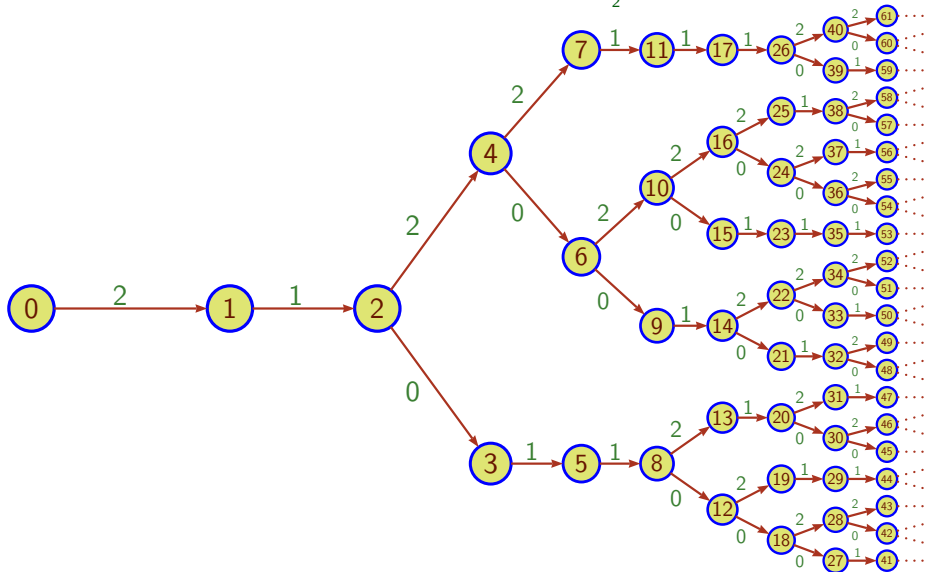
Conjecture

For every n there exists a finite transducer
that takes \mathbf{w}_n^- as input and outputs \mathbf{w}_{n+1}^- .

Derived transducer $\mathcal{D}_{\frac{3}{2}}$



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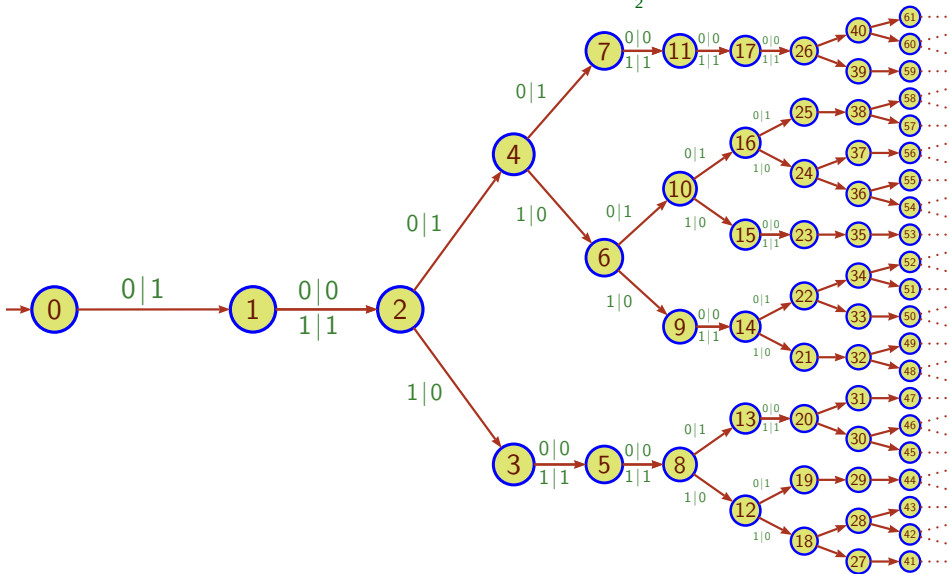


$2 \rightarrow 0|1$

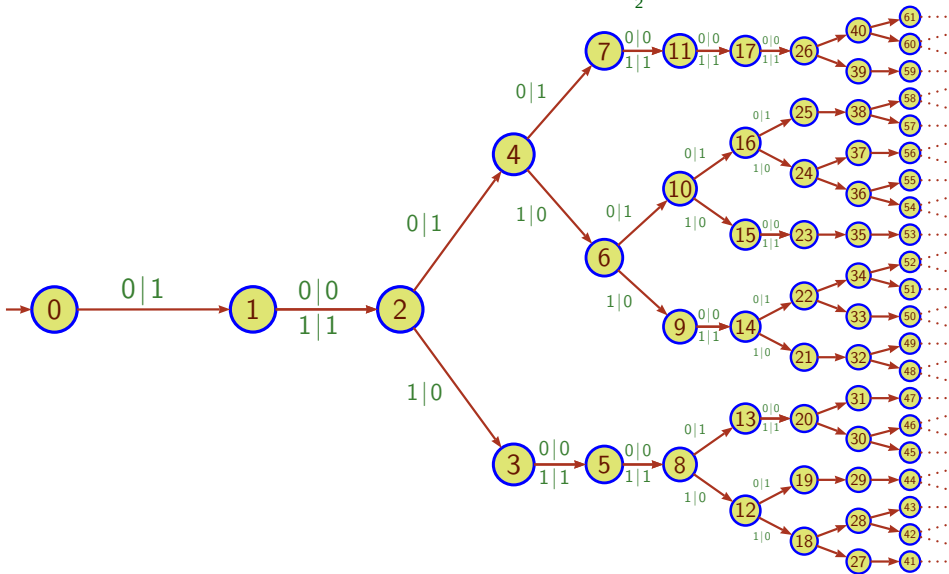
$1 \rightarrow 0|0, 1|1$

$0 \rightarrow 1|0$

Derived transducer $\mathcal{D}_{\frac{3}{2}}$



Derived transducer $\mathcal{D}_{\frac{3}{2}}$



Theorem

$$\forall n \in \mathbb{N}$$

$$\mathcal{D}_{\frac{3}{2}}(\mathbf{w}_n^-) = \mathbf{w}_{n+1}^-$$

